

# Annual Report 2014



**PARTNERSHIP. FOR TRANSPARENCY FUND e.V.**  
*supporting citizens against corruption*

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The activities of PTF e.V. are structured according to the **IOOI-method** (input-output-outcome-impact) but in order to explain our intended impact - which entails our vision and mission - first, we moved it before the input description.

# Why we joined PTF e.V.



**Juanita Olaya, PTF e.V. Advisor:** „PTF e.V. has a remarkable membership. They are people who know how to get things done. I value our impact and enjoy working in such an efficient and result-oriented environment. That’s why I joined.”

**Dr. Alexander Fink, PTF e.V. Advisor:** „Over the last 15 years I have been working in developing countries. From my point of view corruption is the main problem that affects especially poor people. As a doctor I care about health care access for these poor people. Grass root level projects as intended by PTF e.V. are vital to improve health care services for them. That’s why I joined.”



# From Our Chairperson



I am pleased to present the first annual report of PTF e.V. which provides an overview of our first year's activities. The report meets the [Social Reporting Standard](#) hereby reflecting our understanding of a transparent and innovative organization.

PTF e.V. is the European affiliate of Partnership for Transparency Fund (PTF), the Washington DC based headquarter organization. We both share the same mission in „advancing innovative citizen-led approaches to improve governance, increase transparency, promote the rule of law and reduce corruption in developing and emerging countries”.

Being located in Europe, PTF e.V. mainly focuses on the Western Balkans and the Eastern European Neighborhood countries.

In 2014, the first year of PTF e.V.'s existence, we have increased our membership – now including former senior World Bank advisors, CSO representatives, Scandinavian Diplomats and young professionals which focus their career on governance and anti-corruption projects.

These initial efforts which started with a dry, bureaucratic registration process have quickly evolved into a lively and dynamic process of various result-oriented activities among the PTF e.V. members as well as a continuous and inspiring exchange with our PTF colleagues in Washington.

I hope you will enjoy reading of the annual report!

A handwritten signature in green ink that reads 'Franz Kaps'.

Franz Kaps  
Head of PTF e.V.



The report covers the year 2014. It was finalised on 31 December 2014 and published on 1 February 2015. It has been written along the lines of the Social Reporting Standards as published for the year 2014 at [www.social-reporting-standard.de](http://www.social-reporting-standard.de)

# From Our Friends in Washington



Despite its relatively short existence, PTF e.V. has made impressive progress in 2014. PTF is pleased to continue providing PTF e.V. with all necessary administrative, technical and strategic support to ensure its continued success. At the same time, we are benefiting from the many contributions and insights our committed and energetic European members.

A mutually productive PTF e.V./PTF partnership has emerged which has more than vindicated our decision to support the establishment of a Europe-based affiliate in mid-2013.

We are particularly encouraged by PTF e.V.'s efforts to seek new partnerships in Eastern Europe and the Western Balkans.

In this context, we expect to roll out the Berry Metzger Rule of Law Initiative, currently being piloted in Serbia, to other countries in the region. Similarly, the proposed Moldova Integrity Program could serve as a model for similar programs in other countries. The same holds true for PTF's Citizen Action for Results, Transparency and Accountability (CARTA) program, which has been successfully tested in Asia.

PTF wishes PTF e.V. continued success in 2015 and looks forward to support it in every way possible.

A handwritten signature in black ink that reads 'R. Stein'.

President and CEO of PTF



# Why we joined PTF e.V.



**Michael Wiehen, PTF e.V. Advisor:** „I have been part of the global anti-corruption movement from early on. Due to its impressive capacities to support the implementation of governance and anti-corruption projects, PTF e.V. complements this movement in Europe. That’s why I joined.“

**Lars Jeurling, Advisor | Author of the Ukraine Concept Note:**  
„Ukraine has been a difficult country to work on but I feel that a consensus is forming that, with the emergence of a vibrant civil society and reform minded government, there is now a window of opportunity to engage Ukrainian civil society in the fight against corruption. To seize this opportunity, I joined PTF e.V.“



# Our Foundation



*Founding team members on day of foundation*

PTF decided to support the establishment of a sister organisation in Europe (PTF e.V.) in order to attract new members, engage in European anti-corruption networks, develop new partnerships and attract grants and other modes of cooperation from European organisations.

The Partnership for Transparency Fund eingetragener Verein (PTF e.V.) was set up in 2013 and registered as a not-for-profit association under German corporate law in January 2014.

As a fairly new CSO, PTF e.V. relies upon the support of its “mother organisation”, the Partnership for Transparency Fund (PTF) in Washington DC. This organisation was founded in 2000 and has a pool of more than 70 experienced advisors.

PTF e.V. – as also PTF – understands itself as a **pioneering and innovative organization** mobilising expertise and resources to provide advice and small grants to civil society organizations (CSOs) to improve governance, increase transparency and reduce corruption in developing countries as well as emerging countries.

# Our Mother Organisation PTF



## Partnership for Transparency Fund – a tested approach over 14 years

In the last 14 years, the Partnership for Transparency Fund has provided about 5.5 million USD through 250 small grants to projects developed and implemented by more than 160 partner CSOs.

Skilled PTF advisors assist in the implementation of the projects all over the world. A number of these grants have been to former Soviet Union countries and to countries in Eastern Europe.

In 2014, PTF ran four big initiatives:

1. Barry Metzger Rule of Law Initiative in the Balkans,
2. Citizen Action Platform in Africa,
3. Citizen Action for Results, the Transparency and Accountability (CARTA), and
4. Increased relief accountability in cyclone affected areas in Asia.



# Our Impact

*Our results in achieving specific outcomes*



**Our Vision** | PTF e.V. envisions societies where citizens succeed in making their government free of corruption.

**Our Mission** | PTF e.V. aims at advancing innovative citizen-led approaches to improve governance, increase transparency, promote the rule of law and reduce corruption in developing countries.

**Our Approach** | Our approach to realizing this vision and mission focuses on incubating innovative good governance initiatives of civil society organisations. We validate selected ideas, scale-up successful approaches for wide-spread replication, and disseminate results and lessons learned from our experience to advance the global movement against corruption.

In addition to general program oversight, we support civil society organisations through small grants and technical assistance in the design, implementation and monitoring of project.

# Our Input

*What resources we put into our activities*



**Financial input** | In 2014, PTF e.V. received financial assistance from its mother organization based in Washington, DC. This seed money in the amount of 9653.52 Euro (of which only 3969.20 Euro was spent) allowed PTF e.V. to “get into business”.

## Human resources input |

- **Strategical Input** | PTF e.V. members advising in which direction PTF e.V. could go (e.g. drafting a strategic plan for PTF e.V. and writing Moldova and Ukraine concept papers);
- **Professional Input** |
  - ✓ PTF e.V. members advised on which direction PTF e.V. could go (e.g. drafting a strategic plan for PTF e.V. and writing Moldova and Ukraine concept papers);
  - ✓ PTF e.V. members provided professional input on operational issues (e.g. organizational development, screening for funding opportunities, drafting of proposals) and thematic matters (by contribution to the PTF working groups);
- **Technical Input** | PTF designed and maintained the PTF e.V. sub-website [www.ptfund.org](http://www.ptfund.org) and the PTF communication plan.

# First Concept Papers I

*How we reach out for funds*



## Ukraine concept paper, Lars Jeurling

### Background

Ukraine is going through a difficult period and it is unclear when the situation in the country will allow international organizations to cooperate with local CSOs to address governance and transparency challenges. A resolution of the armed conflict in the East is critical.

Many competent civil society organizations (CSOs) have emerged in the past year. They have received the President's pledge and other signs of changing attitudes throughout society with enthusiasm and feel that the Ukraine now has a unique chance to break with the past. They acknowledge, however, that without nudging from an active civil society and support from the international community progress could falter.

PTF and potential partner CSOs thus believe that conditions could improve in the near future and if so Ukraine will become an urgent and promising ground for PTF assistance.

### Approach

The Ukraine program will be co-managed by a General Partner and the European branch of PTF operating out of Munich, Germany but will also draw on PTF's pool of advisors based in the US and other parts of the World. The program will be managed in close cooperation with Transparency International.

PTF will select a Ukrainian non-government organization (the General Partner which could be TI-Ukraine) to co-manage the program channel funds and help select and supervise CSOs and projects to be included in the program.

These projects will address specific governance/transparency problems, particularly at local level, which have arrested Ukraine's social and economic development by interfering with the political and democratic processes and the efficient functioning of the government and the market economy/private business.

# First Concept Papers I

*How we reach out for funds*



## Moldova concept paper, Marie-Carin von Gumpenberg

### Background

Despite multiple efforts, undertaken by the Moldovan government and supported by development partners, Moldova ranks 102 of 177 countries in the Transparency International's Corruption Perceptions Index 2013.

The European Union which supports Moldova in the context of its Eastern Partnership Program and more recently under the Association Agreement repeatedly invited Moldova to “intensify the fight against corruption at all levels”, specifically proposing steps to be taken in the following areas: ensuring the full functioning and independence of the National Anti-corruption Centre, creating an enabling legislative framework for the National Integrity Commission, preventing undue business interest influence on all sectors, including the judiciary, and ensuring the transparency of public procurement and privatisation.

### Approach

PTF e.V., the European branch of PTF e.V., will take a lead role in the Integrity Programme Moldova. In the course of a Needs Assessment that it intends to conduct PTF will look for a General Partner on the ground with whom it intends to develop and implement the Integrity Programme.

For the Programme design, its implementation and monitoring, PTF e.V. will draw on PTF's pool of advisors based in Europe, the US and other parts of the World. PTF advisors have specialized skills (e.g. public procurement, judiciary reform), and many of them also in-country experience.

PTF e.V. will also seek to link up with Transparency International, hereby drawing upon a Memorandum of Understanding that was concluded 2014 between PTF, PTF e.V. and Transparency International-Secretariat outlining the areas of collaboration (technical assistance for projects, project evaluations, policy advice and the joint preparation of grant proposals to development partners). PTF e.V. intends to invite TI Moldova to apply as a General Partner in the Integrity Programme; but other options should also be explored.

# First Successful Tender



„Partners in Empowerment”



EU-funded project won by PASOS with PTF e.V. as a partner

PASOS

PASOS is a regional platform, open to co-operation and partnership to address key regional and international policy challenges. Since its launch in 2005, PASOS has grown from 23 members and now comprises 56 independent policy centres spanning more than 27 countries. PASOS acts as an interface between EU-based policymaking communities and the countries of Southeast Europe, Turkey, the EU's Eastern neighbourhood and Central Asia. PASOS actively supports efforts to promote freer societies governed by the rule of law.

## “Partners in Empowerment”

From 2015-2017, PASOS is leading, with PTF e.V. as a partner, a new EU-funded project, entitled, "Partners in Empowerment", a sub-granting (grants totalling EUR 600,000) and capacity-building project focusing on Armenia, Azerbaijan, Belarus, Moldova, and Ukraine. The objective of the project is to support the development of stronger, interconnected CSOs and CSO leaders in the five countries, better equipped to work together and to draw on peer and international expertise to hold governments to account by becoming effective watchdogs engaged in policymaking processes and in leveraging expertise to monitor public service delivery and government decisions.

The first step of the project, to be launched in early 2015, will be the design of an "organisational capacity assessment (OCA) of local watchdog organisations and national CSOs monitoring policy implementation and budget transparency, including use of EU funding. OCAs will be supplemented by strategic institutional development mentoring, focusing on project evaluation, leadership development, sustainable strategic and financial development. This will result in clear organisational strategies for the CSOs concerned, and in high quality project proposals for the sub-granting programme“.

For further information on PASOS, see: [www.pasos.org](http://www.pasos.org)

# Our Outcomes

*The changes and benefits that result from PTF e.V.'s activities*



**Increased visibility** | PTF e.V. – in close cooperation with PTF, TI-S and TI national chapter and other like-minded partners – has started to establish its own reputation as credible CSO engaged in anti-corruption, good governance and rule of law programs in the wider EU region.

The PTF e.V. chairperson and advisors travelled to several conferences and meetings, among others to Brussels (meetings with various representatives of EU agencies), Warsaw (PASOS annual meeting), Bonn (BMZ anti-corruption strategy presentation), and Eschborn (GIZ) to present PTF e.V. as a newly established European anti-corruption CSO.

**Integrated in an outstanding anticorruption network** | PTF e.V. and PTF have been increasingly presented as being part of the “one family” which is guided by the PTF/PTF e.V. mission statement and the Memorandum of Understanding among them, a document paying credit to numerous past co-operations and emphasizing the will to proactively seeking further opportunities for future cooperation. PTF e.V./PTF and TI-S concluded also a Memorandum of Understanding; all sides consider themselves as complementary actors in the anti-corruption field.

# Our Output

*What we achieved with our activities*



**Reaching out for grants** | In 2014, PTF e.V. collaborated with the following organisations in order to seek funding for mutually supported programmes:

- PASOS, a lead organisation in an EU support Programme for Eastern Partnership Civil Society Facility: Won EU tender jointly for “Partners in Empowerment”
- GIZ Moldova: Moldova Concept Paper shared with BMZ and GIZ Moldova
- Various funding organisations: Ukraine Concept Paper shared with a number of funding organisations
- Various GIZ-supported programmes in the Western Balkans: contacts established

**Networking/liaising with anti-corruption actors** | We successfully established relations with the following organisations:

- Transparency International Secretariat (TI-S)
- Policy Organisation for an Open Society (PASOS)
- European Citizen Action Service (ECAS)
- Eastern Partnership Civil Society Forum (EAP-CSF)
- BMZ anti-corruption/integrity task force

**Recruiting new members** | We pro-actively recruited many outstanding anticorruption experts for PTF e.V., resulting in our growth from 7 to 20 members by the end of 2014.

# Our Plan for 2015



**Membership qualified and increased** | PTF e.V. aims to maintain a stable core team while recruiting new members with skills relevant to our agenda.

**Networks deepened** | PTF e.V. will continue to build up an anti-corruption network and contribute to other such networks.

**Subcontracts and grants won** | PTF e.V., with the support of PTF, will work to win subcontracts and grants from the EU and other European donors, and actively participate in anti-corruption projects.

**CSOs supported** | PTF e.V. will focus on building up the capacities and competences of partner CSOs .

## Important events in 2015

- Annual member meeting of PTF e.V. – 12<sup>th</sup> of March
- “Partners in Empowerment“ launch – planned for March/April
- Participation in International Anti-Corruption Conference in Malaysia – 2<sup>nd</sup> until 4<sup>th</sup> of September



# Why we joined PTF e.V.



**Christoph Kowalewski, PTF e.V. Treasurer and Advisor:** „What impresses me the most about PTF’s approach is how effective money is being spent. This is rare to find among non-profit organisations. That’s why I joined PTF e.V.“



**Marie-Carin von Gumpfenberg, PTF e.V. Advisor | Author of the Moldova Concept Note:** „Having lived and worked in Central Asia, the Caucasus and the Balkans for more than ten years I know the challenges of the project work in countries PTF e.V. intends to focus on. And as a certified compliance officer I want to contribute to the organizational development of PTF e.V. That’s why I joined PTF e.V.“

# Our Members



Members listed in a word cloud:

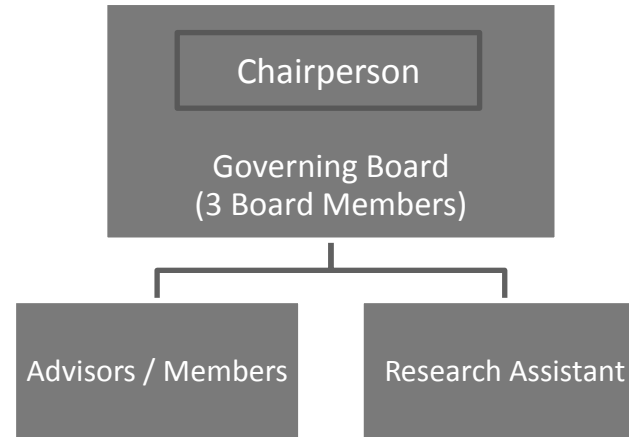
- Franz Kaps
- Birgitta Nygren
- Leen Solleveld
- Martin Müller
- Hady Fink
- Nico Nissen
- Margret Thalwitz
- Hans Werner Wabnitz
- Horst Feuerstein
- Carola Kaps
- Lars Jeurling
- Marie-Carin von Gumpenberg
- Mariette Vanbeck
- Jeff Lovitt
- Knud Kjaer
- Christoph Kowalewski
- Lukas Weitbrecht
- Alexander Fink
- Juanita Olaya
- Michael Wiehen

# Our Organisational Structure



**Governing Structure** | PTF e.V. has a volunteer board of three directors under the chairmanship of Franz Kaps, a former World Bank official residing in Munich.

**Membership** | By the end of 2014, it had a membership of 20, mixing former World Bank staff, Scandinavian diplomats, CSO representatives and younger members with substantial background in governance, compliance and anti-corruption matters around the world.



*PTF e.V. members during a meeting*

# Our Core Team I



## Franz Kaps, PTF e.V. Chairperson

Franz retired from the World Bank in May 2007 as a Special Representative for Southeast Europe, co-manager of Joint European Commission-World Bank Office for Southeast Europe, and the Europe and Central Asia Region Senior Partnership Adviser. From 2000 – 2004 he served as the Senior Partnership Adviser in the Office of the Vice President for Europe and Central Asia, based in Budapest, Hungary. Prior his posts in European divisions, Franz held several senior assignments in the World Bank's Africa Region, including serving as Resident Representative in Dakar Senegal, as well as operational assignments in Central America, Egypt and Yugoslavia.

## Margret Thalwitz, PTF e.V. Deputy Chairperson

Margret Thalwitz is an economist with a long history in development. A graduate of the German Development Institute she spent most of her professional career at the World Bank covering corporate, policy and operational assignments. She is currently a Senior Expert Fellow at the Center for Global Cooperation Research at the University of Duisburg/Essen.

## Christoph Kowalewski, PTF e.V. Treasurer

Chris is a freelancing anti-corruption consultant, working for international organisations like UNDP and GIZ. From 2010 – 2013 he worked in the Governance, Risk & Compliance-department of the accounting company PricewaterhouseCoopers consulting companies in identifying and mitigating corruption risks. In addition, he is a member of Transparency International Germany. Chris acquired master's degrees in Business Administration and International Cultural & Business Studies at the University of Passau (Germany).

# Our Core Team II



## Marie-Carin von Gumpfenberg, PTF e.V. Advisor

Marie-Carin is a Council of Europe expert on elections. She holds a PhD in political sciences and is a certified compliance officer. For 10 years she worked for the Organization for Security and Co-operation in Europe (OSCE) with duty stations in Kyrgyzstan, Uzbekistan, Georgia and the Former Yugoslav Republic of Macedonia. Marie-Carin has published several books on Central Asia and the Caucasus.

## Jeff Lovitt, PTF e.V. Advisor | PASOS Executive Director

Jeff, a professional in policy and communications, is Executive Director of PASOS, a network of 56 independent think-tanks spanning 28 countries in Europe and Central Asia. Through advocacy, projects, and standards-setting, PASOS supports independent policy centres working at the EU level, and in the wider neighbourhood of Europe and Central Asia.

## Lars Jeurling, PTF e.V. Advisor

For nearly 30 years, Lars worked for the World Bank. 2002-2014 he engaged as entrepreneur/private equity partner. In 2004 Lars joined PTF and in 2014 PTF e.V. He has worked exclusively on PTF projects in Eastern Europe and the former Soviet Union managing 10 projects. After successfully liquidating his company in 2014, he personally established a small fund to match individual donations to PTF. He is author of the Ukraine Concept Note.

## Martin Müller, Research Assistant

Martin is a student of Political Science and Sociology at the Ludwig-Maximilian University in Munich. After first experiences in development cooperation in Bangladesh he joined PTF e.V.; he is currently working for PTF e.V. as research assistant.

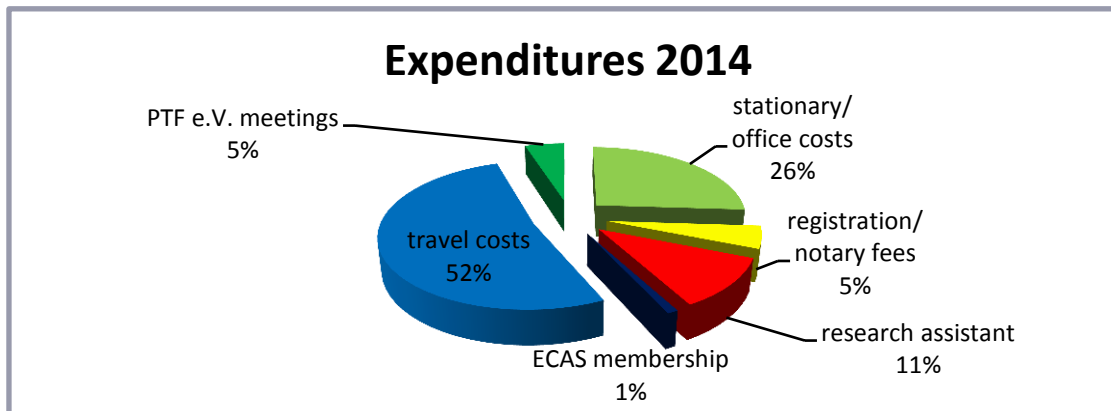
# Our Finances



**Revenues** | In 2014, PFT e.V. received revenues only from PTF transfers; no fees were charged to the members; no grants were pledged to PTF e.V. In 2015, PTF e.V. expects grants to comprise most of the revenues.

Revenues	Expenditures	Balance
9653.22 Euro	4045.52 Euro	5607.70 Euro

**Expenditures** | The below breakdown clearly shows that PTF e.V. invested in travel and networking – besides the administration – with the aim of „getting into business“. For the year 2015, this ratio is expected to change with most of the money spent for project activities.



**Finances 2015** | The balance at the end of 2014 will be transferred to the 2015, mainly to cover travel costs, the research assistant and initial project costs.

# Our Partnerships



**Transparency International-Secretariat (TI-S)** | In 2014, PTF and PTF e.V. signed a Memorandum of Understanding with Transparency International-Secretariat outlining the areas of collaboration (technical assistance for projects, project evaluations, policy advice and the joint preparation of grant proposals to development partners).



**Policy Association for an Open Society (PASOS)** | In 2014, PTF e.V. agreed on applying jointly for tenders; PASOS aims to promote and protect democracy, human rights and open society values – including the rule of law, good governance, and economic and social development – by supporting civil society organisations that individually and jointly foster public participation in public policy issues.

# Why we joined PTF e.V.?



**Margret Thalwitz, PTF e.V. Deputy Chairperson:** „I look forward to PTF e.V. joining forces with Transparency International, the Policy Association for an Open Society and other exceptional institutions. We should all benefit from mutual support enabling us to provide high quality services to interested donors. “



**Martin Müller, PTF e.V. Research Assistant:** “As a student with profound interest into social structures and anti corruption policy, I could not have imagined a more exciting student job than supporting PTF e.V. as research assistant. That’s why I feel very privileged to be part of PTF e.V.“



# Support us



**Donate your time** | Are you interested in contributing to PTF e.V. as a volunteer? Are you looking for ways how to contribute to transparency, improved governance and reduced corruption? Do you want to join an innovate, growing, member-driven organisation? Then donate your time to PTF e.V.! We are looking for support in one of the following areas:

- Anti-corruption
- Networking
- Fund raising activities
- Project design, implementation and monitoring
- Project/programme evaluation

**Donate your money** | Your contribution for PTF e.V. can be a first step of your commitment against corruption. You may make a single or a regular donation or by topics/countries.

**Any questions on PTF e.V.'s activities?** | Feel free contact our chairperson Franz Kaps at +49-171-1403184 or [f.kaps@ptfund.org](mailto:f.kaps@ptfund.org)

# Our Contact Details



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**Bank Account** | Deutsche Bank München  
IBAN DE56700700100221165400 | BIC DEUTDEMMXXX

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